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47744 7590 09/14/2005

ROSS SPENCER GARSSON
WINSTEAD SECHREST & MINICK P.C.
P. O. BOX 50784

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01 FC:1501 1400.00 OP
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Beatrice Zepeda	(Depositor's name)
<i>Beatrice Zepeda</i>	(Signature)
November 15, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/071,166	02/08/2002	Richard E. Smalley	11321-P021US	8622

TITLE OF INVENTION: GAS-PHASE PROCESS FOR PURIFYING SINGLE-WALL CARBON NANOTUBES AND COMPOSITIONS THEREOF

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	12/14/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
HENDRICKSON, STUART L	1754	423-447600

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

- 1 Ross Spencer Garsson
- 2 Edward T. Mickelson
- 3 Winstead Sechrest & Minick P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

William Marsh Rice University

Houston, Texas

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

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- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature *RS*
Typed or printed name Ross Spencer GarssonDate November 15, 2005
Registration No. 38,150

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/071,166
Filing Date	February 8, 2002
First Named Inventor	Richard E. Smalley
Art Unit	1754
Examiner Name	Stuart L. Hendrickson
Attorney Docket Number	11321-P021US

ENCLOSURES (Check all that apply)

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Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Winstead Sechrest & Minick P.C.
Signature	<i>[Signature]</i>
Printed name	Ross Spencer Garsson
Date	November 15, 2005

Reg. No. 38,150

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature	<i>Beatrice Zepeda</i>
Typed or printed name	Beatrice Zepeda
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